

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:	)	
	)	
Sumio Iwase	)	Group Art Unit: 3693
	)	
Application No. 09/869,993	)	Examiner: Sara M. Chandler
	)	
Filed: October 15, 2001	)	Confirmation No. 4325
	)	
For: AUCTION SYSTEM, AUCTION	)	
PROCESSING APPARATUS,	)	
AUCTION PROCESSING	)	
METHOD, AND INFORMATION	)	
PROCESSING SYSTEM AND	)	
METHOD OF SAME	)	

**Mail Stop RCE**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**Via EFS-Web**

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicant brings to the attention of the Examiner the listed documents on the attached form. This Information Disclosure Statement is being filed before the mailing date of a first Office Action after the filing of a Request for Continued Examination in the above-referenced application.

Copies of the listed foreign patent and non-patent literature documents are attached. Machine translations and/or abstracts of the documents are also attached.

Applicant respectfully requests that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claims in the application and Applicant determines that the listed documents do not constitute "prior art" under United States law, Applicant reserves the right to present the relevant facts and law regarding the appropriate status of such documents.

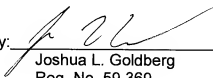
Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the listed documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to Deposit Account 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: October 28, 2011

By:   
Joshua L. Goldberg  
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